

Designation \_\_\_\_\_ Nr \_\_\_\_\_

## FORM TO BE CLASSIFIED AS PROFESSIONAL CLIENT OPTING-OUT

In accordance with the Swiss Federal Act on Financial Services (FinSA), **the Client is by default classified as private client**. Consequently, the Client is granted certain rights but **may be subject to restrictions in terms of portfolio management and to increased administrative costs**.

However, the Client may choose to be treated as professional client (as from 01.01.2022), by initialling below and confirming as follows (tick the appropriate box):

The Client holds, directly or indirectly, **net assets of at least CHF 2,000,000 (two million Swiss francs)** or more, or the equivalent amount in another currency. For this purpose, "net assets" means in particular bank deposits, fiduciary deposits, securities, derivatives, precious metals and life insurance policies with a surrender value not exceeding social security claims;

The Client holds, directly or indirectly, net assets of **CHF 500,000 (five hundred thousand Swiss francs)** or more, or the equivalent amount in another currency. For this purpose, "net assets" means in particular bank deposits, fiduciary deposits, securities, derivatives, precious metals and life insurance policies with a surrender value not exceeding social security claims;  
**and the Client (or its representative appointed hereinabove) possesses the necessary knowledge**, allowing a complete understanding of the risks related to transactions on financial instruments aimed at qualified investors due to his qualifications and professional experience, or due to comparable experience in the financial sector.

Please provide details:

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By being considered as a **professional client**, the Client is aware of the following:

- Cité Gestion shall not, prior to each transaction (purchase or sale of a financial instrument), obtain from the Client confirmation that he has received, read and understood the content of the basic information sheet (or the prospectus of the product) and in particular information on the risks and costs relating to the transaction, the market offer taken into consideration for the selection of financial instruments and possible economic relations with third parties.
- Cité Gestion does not verify the appropriateness of each transaction individually as it assumes that the Client has the required knowledge and expertise in financial investments and that he can financially assume the investment risks related to the financial services intended for him.

- Cité Gestion will not be required to document individually the Client's needs and the underlying reasons for each transaction.

The Client may at any time request to be treated as a "private client", by a written declaration to Cité Gestion. Consequently, the Client's portfolio may no longer be invested in certain classes of securities and assets. Cité Gestion may refuse to manage the Client's assets.

Date:

Signature(s):

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